

Supplemental

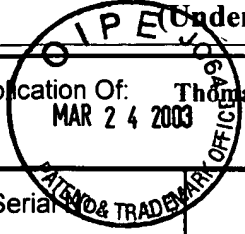
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| <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b><br>Under 37 CFR 1.97(b) or 1.97(c) | Docket No.<br>PH01-00-04E |
|---|---------------------------|

In Re Application Of: Thomas Andrew Strasser et al.  
MAR 24 2003



|                      |                        |                        |                        |
|----------------------|------------------------|------------------------|------------------------|
| Serial<br>10/099,888 | Filing Date<br>3/15/02 | Examiner<br>Unassigned | Group Art Unit<br>2633 |
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| Title: <b>METHOD AND APPARATUS FOR TRANSFERRING WDM SIGNALS BETWEEN DIFFERENT WAVELENGTH DIVISION MULTIPLEXED OPTICAL COMMUNICATION SYSTEMS IN AN OPTICALLY TRANSPARENT MANNER</b> | <b>RECEIVED</b> |
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Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

MAR 26 2003  
Technology Center 2600

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

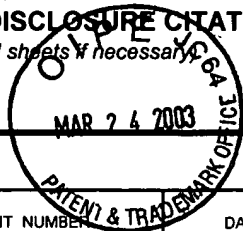
2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR
- ☐ the fee set forth in 37 CFR 1.17(p).



*Supplemental*

| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT<br>(Under 37 CFR 1.97(b) or 1.97(c))   |                        |  | Docket No.<br>PH01-00-04E                         |
|--|------------------------|--|---|
| In Re Application: Thomas Andrew Strasser et al.   |                        |  |   |
| Serial No.<br>10/099,888   | Filing Date<br>3/15/02 | Examiner<br>Unassigned   | Group Art Unit<br>2633                            |
| METHOD AND APPARATUS FOR TRANSFERRING WDM SIGNALS BETWEEN DIFFERENT<br>WAVELENGTH DIVISION MULTIPLEXED OPTICAL COMMUNICATION SYSTEMS IN AN<br>OPTICALLY TRANSPARENT MANNER   |                        |  | RECEIVED<br>MAR 26 2003<br>Technology Center 2600 |
| <b>Payment of Fee</b><br>(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  |                        |  |   |
| <input type="checkbox"/> A check in the amount of _____ is attached.<br><input type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.<br><input type="checkbox"/> Charge the amount of _____<br><input type="checkbox"/> Credit any overpayment.<br><input type="checkbox"/> Charge any additional fee required. |                        |  |   |
| <b>Certificate of Transmission by Facsimile*</b>   |                        | <b>Certificate of Mailing by First Class Mail</b>  |   |
| <div>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (f</div> <div>(Date) _____</div> <div>Signature _____</div> <div>Typed or Printed Name of Person Signing Certificate _____</div>   |                        | <div>I certify that this document and fee is being deposited 3/19/03 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</div> <div>Signature of Person Mailing Correspondence<br/>Marjorie Scariati</div> <div>Typed or Printed Name of Person Mailing Certificate _____</div> |   |
| <p>*This certificate may only be used if paying by deposit account.</p> <div>Signature: <i>Stuart H. Mayer</i></div> <div>Dated: 3/19/03</div>   |                        |  |   |
| Stuart H. Mayer Reg. No. 35,277<br>Mayer Fortkort & Williams, PC<br>251 North Avenue West, 2nd Floor<br>Westfield, NJ 07090<br>Tel: 908-518-7700<br>Fax: 908-518-7795<br>cc:   |                        |  |   |

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| Supplemental<br><b>INFORMATION DISCLOSURE CITATION</b><br>(Use several sheets if necessary) | ATTY DOCKET NO.<br><b>PH01-00-04E</b> | SERIAL NO.<br><b>10/099,888</b> |
|   | Thomas Andrew Strasser et al.         |                                 |
|   | FILING<br><b>3/15/02</b>              | GROUP<br><b>2633</b>            |



| U.S. PATENT DOCUMENTS |     |                 |            |                  |       |          |                               |
|-----------------------|-----|-----------------|------------|------------------|-------|----------|-------------------------------|
| *EXAMINER<br>INITIAL  |     | DOCUMENT NUMBER | DATE       | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|                       | 1.  | 2002/0067888 A1 | 6/6/2002   | Morozov et al.   | 385   | 37       |                               |
|                       | 2.  | 6,192,172       | 2/20/2001  | Fatehi et al.    | 385   | 17       |                               |
|                       | 3.  | 6,151,157       | 11/21/2000 | Ball et al.      | 359   | 341      |                               |
|                       | 4.  | 6,101,011       | 8/8/2000   | Taylor           | 359   | 124      |                               |
|                       | 5.  | 6,067,389       | 5/23/2000  | Fatehi et al.    | 385   | 17       |                               |
|                       | 6.  | 5,909,295       | 6/1/99     | Li et al.        | 359   | 130      |                               |
|                       | 7.  | 5,712,932       | 1/27/98    | Alexander et al. | 385   | 24       |                               |
|                       | 8.  | 5,557,439       | 9/17/96    | Alexander et al. | 359   | 130      |                               |
|                       | 9.  | 5,504,609       | 4/2/96     | Alexander et al. | 359   | 125      |                               |
|                       | 10. | 5,608,825       | 3/4/97     | Ip               | 385   | 24       |                               |
|                       | 11. | 6,005,694       | 12/21/99   | Liu              | 359   | 110      |                               |

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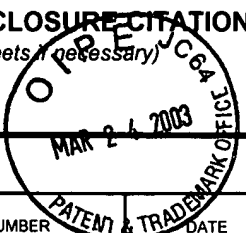
| FOREIGN PATENT DOCUMENTS |  |                 |      |         |       |          |             |    |
|--------------------------|--|-----------------|------|---------|-------|----------|-------------|----|
|                          |  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|                          |  |                 |      |         |       |          | YES         | NO |
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|                          |  |                 |      |         |       |          |             |    |
|                          |  |                 |      |         |       |          |             |    |

| OTHER DOCUMENTS |    | (Including Author, Title, Date, Pertinent Pages, Etc.)   |
|-----------------|----|--|
|                 | 1. | Daniel J. Blumenthal, "The S <sup>2</sup> of MEMS-based Photonic Switches: Scalability, Size and Serviceability," Calient Networks, San Jose, California, 11/22/2000.                |
|                 | 2. | Stephen B. Alexander et al., "A Precompetitive Consortium on Wide-Band All-Optical Networks," <i>Journal of Lightwave Technology</i> , Vol. 11, No. 5/6, May/June 1993, pp. 714-735. |

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| EXAMINER | DATE CONSIDERED |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| <i>Supplemental</i><br><b>INFORMATION DISCLOSURE CITATION</b><br>(Use several sheets if necessary) |  |  |  | ATTY DOCKET NO.<br><b>PH01-00-04E</b>                                    |  | SERIAL NO.<br><b>10/099,888</b> |  |
|  |  |  |  | Thomas Andrew Strasser et al.<br>FILING <b>3/15/02</b> GROUP <b>2633</b> |  |                                 |  |



| U.S. PATENT DOCUMENTS |                 |           |                 |       |          |                               |  |
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| *EXAMINER<br>INITIAL  | DOCUMENT NUMBER | DATE      | NAME            | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |  |
| 12.                   | 6,097,859       | 8/1/2000  | Solgaard et al. | 385   | 17       |                               |  |
| 13.                   | 6,198,857 B1    | 3/6/2001  | Grasis et al.   | 385   | 24       |                               |  |
| 14.                   | 6,172,782 B1    | 1/9/2001  | Kobayashi       | 359   | 128      |                               |  |
| 15.                   | 6,192,174 B1    | 2/20/2001 | Lee             | 385   | 24       |                               |  |
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| FOREIGN PATENT DOCUMENTS |  |                 |      |         |       |          |             |    |
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|                          |  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|                          |  |                 |      |         |       |          | YES         | NO |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |  |  |  |
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